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FILE 'BIOSIS' ENTERED AT 11:05:05 ON 30 MAY 2004
COPYRIGHT (C) 2004 BIOLOGICAL ABSTRACTS INC. (R)
FILE 'MEDLINE' ENTERED AT 11:05:05 ON 30 MAY 2004
=> s petiard.in.
             1 PETIARD.IN.
=> d l1
     ANSWER 1 OF 1 CAPLUS COPYRIGHT 2004 ACS on STN
L1
     1988:587562 CAPLUS
AN
DN
     109:187562
     The hypothesis of J. C. Mestre concerning variability in plant cell
TI
     cultures: methods of approach
ΑU
     Vannereau, Agnes
     Lab. Biol. Cell., Fac. Pharm., Chatenay-Malabry, 92296, Fr.
CS
     Lettres Botaniques (1988), (1), 41-8
SO
     CODEN: LEBODV; ISSN: 0181-1797
דת
     Journal; General Review
LA
    French
=> s l1 and cocoa
            0 L1 AND COCOA
=> s crouzillat.in.
L3
            0 CROUZILLAT.IN.
=> s petiard.au.
            0 PETIARD.AU.
=> s crouzillat.au.
             0 CROUZILLAT.AU.
=> s gene###(10a)(cocoa or chocolate)(10a)(detect### or determin###)
             8 GENE###(10A)(COCOA OR CHOCOLATE)(10A)(DETECT### OR DETERMIN###)
=> s 16 and PCR
             4 L6 AND PCR
L7
=> s 17 and (chitinase or mitochondr### or choroplas###)
             2 L7 AND (CHITINASE OR MITOCHONDR### OR CHOROPLAS###)
=> d 18 1-2 bib ab kwic
L8
     ANSWER 1 OF 2 CAPLUS COPYRIGHT 2004 ACS on STN
AN
     2003:609270 CAPLUS
DN
     139:241115
TI
     Detection of hazelnut DNA traces in chocolate by PCR
     Herman, Lieve; De Block, Jan; Viane, Ronald
AU
     Department for Animal Product Quality, Agricultural Research Centre,
CS
     Melle, B-9090, Belg.
so
     International Journal of Food Science and Technology (2003), 38(6),
     633-640
     CODEN: IJFTEZ; ISSN: 0950-5423
PΒ
     Blackwell Publishing Ltd.
DT
     Journal
LA
     English
AB
     By use of the Dneasy Plant Tissue kit (Qiagen Inc.) plant DNA could be
     extracted from chocolate and related matrixes. The polymerase chain reaction
     (PCR) detection of mitochondrial plant DNA is directly
     correlated with the length of the amplified fragment indicating shearing
     of DNA during chocolate production Hazelnut DNA could be specifically
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detected in chocolate matrixes with primers derived from the intron between exon B and C of the mitochondrial gene nad1. Specificity was confirmed towards individual chocolate ingredients and in 20 hazelnut neg. chocolates. From taxonomically closely related plant species, only Carpinus turczaninovii, Ostrya carpinifolia and Corylus americana showed cross reaction, this was because of the identical sequence of the nad1 fragment. Application of extra MgCl2 throughout the DNA extraction procedure and of a specially designed Mg2+ buffered PCR , increased the detection sensitivity of co-processed hazelnut in chocolate to 0.001% or 10 ppm. THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 9 ALL CITATIONS AVAILABLE IN THE RE FORMAT Detection of hazelnut DNA traces in chocolate by PCR By use of the Dneasy Plant Tissue kit (Qiagen Inc.) plant DNA could be extracted from chocolate and related matrixes. The polymerase chain reaction (PCR) detection of mitochondrial plant DNA is directly correlated with the length of the amplified fragment indicating shearing of DNA during chocolate production Hazelnut DNA could be specifically detected in chocolate matrixes with primers derived from the intron between exon B and C of the mitochondrial gene nad1. Specificity was confirmed towards individual chocolate ingredients and in 20 hazelnut neg. chocolates. From taxonomically closely related plant species, only Carpinus turczaninovii, Ostrya carpinifolia and Corylus americana showed cross reaction, this was because of the identical sequence of the nad1 fragment. Application of extra MgCl2 throughout the DNA extraction procedure and of a specially designed Mg2+ buffered PCR , increased the detection sensitivity of co-processed hazelnut in chocolate to 0.001% or 10 ppm. sequence plant mitochondria gene nad1 NADH dehydrogenase PCR; hazelnut contamination chocolate PCR food allergy Food allergy (PCR detection of hazelnut DNA traces in chocolate and potential use in minimizing contamination) Chocolate **PCR** (polymerase chain reaction) (detection of hazelnut DNA traces in chocolate by PCR) Genetic element RL: ANT (Analyte); BUU (Biological use, unclassified); FFD (Food or feed use); ANST (Analytical study); BIOL (Biological study); USES (Uses) (intron; PCR detection of hazelnut DNA traces in chocolate using primers derived from intron 2 of the mitochondrial gene nad1) Gene, plant RL: ANT (Analyte); BUU (Biological use, unclassified); FFD (Food or feed use); ANST (Analytical study); BIOL (Biological study); USES (Uses) (nad1; sequences of nad1 mitochondrial gene fragments from various plants, and uses thereof for PCR detection of hazelnut DNA in chocolate) Beet (Beta maritima) Corylus colurna Ostrya carpinifolia Soybean (Glycine max) Theobroma cacao (sequences of nad1 mitochondrial gene fragments from various plants, and uses thereof for PCR detection of hazelnut DNA in chocolate) Mitochondrial DNA RL: ANT (Analyte); BUU (Biological use, unclassified); FFD (Food or feed use); ANST (Analytical study); BIOL (Biological study); USES (Uses) (sequences of nad1 mitochondrial gene fragments from various plants, and uses thereof for PCR detection of hazelnut DNA in

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chocolate)

DNA sequences Protein sequences

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(sequences of nad1 mitochondrial gene fragments, and uses
       thereof for PCR detection of hazelnut DNA in chocolate)
IT
     487274-32-8, GenBank CAD21836
                                   487274-33-9, GenBank CAD21838
     487274-34-0, GenBank CAD21837
     RL: BSU (Biological study, unclassified); PRP (Properties); BIOL
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        (amino acid sequence; sequences of nad1 mitochondrial gene
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     415263-96-6
                  415263-97-7 415263-98-8 415263-99-9 415264-00-5
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     RL: BSU (Biological study, unclassified); PRP (Properties); BIOL
     (Biological study)
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       in chocolate)
     9079-67-8, NADH dehydrogenase
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     RL: BSU (Biological study, unclassified); PRP (Properties); BIOL
     (Biological study)
        (subunit I; sequences of nad1 mitochondrial gene (NADH
       dehydrogenase) fragments from various plants, and uses thereof for
       PCR detection of hazelnut DNA in chocolate)
L8
    ANSWER 2 OF 2 CAPLUS COPYRIGHT 2004 ACS on STN
AN
    2000:314432 CAPLUS
    132:330582
DN
ΤI
    Use of DNA identification techniques for the determination of genetic
    material of cocoa in fermented or roasted beans and chocolate
IN
    Petiard, Vincent; Crouzillat, Dominique
PA
    Societe des Produits Nestle S.A., Switz.
SO
    Eur. Pat. Appl., 20 pp.
    CODEN: EPXXDW
DT
    Patent
T.A
    English
FAN.CNT 1
    PATENT NO.
                    KIND DATE
                                        APPLICATION NO. DATE
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                    A1 20000518
                                                        19991029
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                      Α
                                                          20010604
PRAI EP 1998-121043
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    WO 1999-EP8268
                     W
                          19991029
AB
    The present invention presents mol. genetic techniques for
    detection of cocoa DNA in fermented and/or roasted cocoa
    beans, and in chocolate. The cocoa includes varieties that have been
    modified by common breeding techniques or modified by genetic engineering.
    Specifically, the invention presents the use of polymerase chain reaction
     (PCR), random amplified polymorphic DNA (RAPD), restriction
    fragment length polymorphism (RFLP) and microsatellite identification in
    detecting cocoa chloroplastic and/or mitochondrial DNA. The
    invention provides primers used in the amplification of the 5S rRNA
    intergenic spacer and seed storage protein (SSP) gene from cocoa. The
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sequences of SSP gene and 5S rRNA intergenic spacer-specific primers, as

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well as RAPD primers, were included in the invention.
              THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD
RE.CNT 5
              ALL CITATIONS AVAILABLE IN THE RE FORMAT
     The present invention presents mol. genetic techniques for
AB
     detection of cocoa DNA in fermented and/or roasted cocoa
     beans, and in chocolate. The cocoa includes varieties that have been
     modified by common breeding techniques or modified by genetic engineering.
     Specifically, the invention presents the use of polymerase chain reaction
     (PCR), random amplified polymorphic DNA (RAPD), restriction
     fragment length polymorphism (RFLP) and microsatellite identification in
     detecting cocoa chloroplastic and/or mitochondrial DNA. The
     invention provides primers used in the amplification of the 5S rRNA
     intergenic spacer and seed storage protein (SSP) gene from cocoa. The
     sequences of SSP gene and 5S rRNA intergenic spacer-specific primers, as
     well as RAPD primers, were included in the invention.
ST
     DNA chloroplast mitochondria detection cocoa fermented roasted
     bean chocolate; PCR RAPD RFLP cocoa DNA detection fermented
     roasted bean; chocolate cocoa DNA detection PCR RAPD RFLP;
     microsatellite cocoa DNA detection fermented roasted bean chocolate
IT
     Gene, plant
     RL: ANT (Analyte); ANST (Analytical study)
        (5S rRNA, intergenic spacer of; mol. genetic techniques (
        PCR, RAPD, RFLP and microsatellite identification) for
        cocoa DNA detection in fermented or roasted beans and
        chocolate)
IT
     Genetic element
     RL: ANT (Analyte); ANST (Analytical study)
        (IGS (intergenic spacer), of 5S RNA gene; mol.
        genetic techniques (PCR, RAPD, RFLP and
        microsatellite identification) for cocoa DNA
        detection in fermented or roasted beans and chocolate)
IT
     Cocoa products
        (beans, roasted and/or fermented; mol. genetic techniques (
        PCR, RAPD, RFLP and microsatellite identification) for
        cocoa DNA detection in fermented or roasted beans and
        chocolate)
IT
     Confectionery
     Confectionery
        (dark chocolate; mol. genetic techniques (
        PCR, RAPD, RFLP and microsatellite identification) for
        cocoa DNA detection in fermented or roasted beans and
        chocolate)
IT
     Chocolate
       Chocolate
        (dark; mol. genetic techniques (PCR, RAPD, RFLP and
        microsatellite identification) for cocoa DNA
        detection in fermented or roasted beans and chocolate)
IT
     Gene, plant
     RL: ANT (Analyte); ANST (Analytical study)
        (for seed storage protein; mol. genetic techniques (
        PCR, RAPD, RFLP and microsatellite identification) for
        cocoa DNA detection in fermented or roasted beans and
        chocolate)
IT
     Microsatellite DNA
     RL: ANT (Analyte); ANST (Analytical study)
        (identification of; mol. genetic techniques (PCR,
        RAPD, RFLP and microsatellite identification) for cocoa DNA
        detection in fermented or roasted beans and chocolate)
IT
     Breeding, plant
     Chloroplast
       Cocoa (Theobroma cacao)
     Genetic engineering
       Mitochondria
       PCR (polymerase chain reaction)
```

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RAPD analysis
     RFLP (restriction fragment length polymorphism)
         (mol. genetic techniques (PCR, RAPD, RFLP and
         microsatellite identification) for cocoa DNA
         detection in fermented or roasted beans and chocolate)
IT
     DNA
     RL: ANT (Analyte); ANST (Analytical study)
         (mol. genetic techniques (PCR, RAPD, RFLP and
         microsatellite identification) for cocoa DNA
         detection in fermented or roasted beans and chocolate)
=> d 17 1-4
L7
     ANSWER 1 OF 4 CAPLUS COPYRIGHT 2004 ACS on STN
AN
     2003:609270 CAPLUS
DN
     139:241115
     Detection of hazelnut DNA traces in chocolate by PCR
TI
ΑIJ
     Herman, Lieve; De Block, Jan; Viane, Ronald
CS
     Department for Animal Product Quality, Agricultural Research Centre,
     Melle, B-9090, Belg.
SO
     International Journal of Food Science and Technology (2003), 38(6),
     633-640
     CODEN: IJFTEZ; ISSN: 0950-5423
PB
     Blackwell Publishing Ltd.
DT
     Journal
LA
     English
RE.CNT 9
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     ANSWER 2 OF 4 CAPLUS COPYRIGHT 2004 ACS on STN
AN
     2003:590944 CAPLUS
DN
     139:145008
TΙ
     Genomic and cDNA sequences of mouse RAB38, RAB38 mutation detection, and
     RAB38 function alteration to modulate mammalian pigmentation
     Pavan, William J.; Loftus, Stacie K.
IN
     The Government of the Usa as Represented by the Secretary of the Dept.
PA
     ofHealth and Human Services, USA
SO
     PCT Int. Appl., 62 pp.
     CODEN: PIXXD2
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PRAI US 2002-349929P
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L7
     ANSWER 3 OF 4 CAPLUS COPYRIGHT 2004 ACS on STN
AN
     2001:813990 CAPLUS
DN
     135:357065
ΤI
     Analysis procedure for the detection of cocoa husks in cocoa products.
IN
     Muench, Michael Anton; Schieberle, Peter; Fischer, Markus; Bacher,
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Adelbert
    Germany
PA
    Ger. Offen., 14 pp.
SO
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LA
FAN.CNT 1
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    DE 10019289 A1 20011108
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L7
    ANSWER 4 OF 4 CAPLUS COPYRIGHT 2004 ACS on STN
AN
     2000:314432 CAPLUS
DN
    132:330582
TI
    Use of DNA identification techniques for the determination of genetic
    material of cocoa in fermented or roasted beans and chocolate
IN
     Petiard, Vincent; Crouzillat, Dominique
     Societe des Produits Nestle S.A., Switz.
PΑ
SO
     Eur. Pat. Appl., 20 pp.
    CODEN: EPXXDW
DT
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     EP 999283 A1 20000510 EP 1998-121043
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     WO 2000028078 A1 20000518
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=> d 16 1-8
L6
    ANSWER 1 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
AN
    2003:609270 CAPLUS
DN
    139:241115
TI
    Detection of hazelnut DNA traces in chocolate by PCR
ΑU
    Herman, Lieve; De Block, Jan; Viane, Ronald
    Department for Animal Product Quality, Agricultural Research Centre,
CS
    Melle, B-9090, Belg.
SO
    International Journal of Food Science and Technology (2003), 38(6),
    633-640
    CODEN: IJFTEZ; ISSN: 0950-5423
PB
    Blackwell Publishing Ltd.
DT
    Journal
    English
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RE.CNT 9
             THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD
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ALL CITATIONS AVAILABLE IN THE RE FORMAT

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2003:590944 CAPLUS
ΑN
DN
    139:145008
ΤI
    Genomic and cDNA sequences of mouse RAB38, RAB38 mutation detection, and
    RAB38 function alteration to modulate mammalian pigmentation
    Pavan, William J.; Loftus, Stacie K.
ΊN
    The Government of the Usa as Represented by the Secretary of the Dept.
PΑ
    ofHealth and Human Services, USA
SO
    PCT Int. Appl., 62 pp.
    CODEN: PIXXD2
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    Patent
    English
LA
FAN.CNT 1
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                                        APPLICATION NO. DATE
    PATENT NO.
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                    A2 20030731
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PRAI US 2002-349929P
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L6
    ANSWER 3 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
AN
    2001:813990 CAPLUS
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    135:357065
ΤI
    Analysis procedure for the detection of cocoa husks in cocoa products.
    Muench, Michael Anton; Schieberle, Peter; Fischer, Markus; Bacher,
IN
    Adelbert
PA
    Germany
SO
    Ger. Offen., 14 pp.
    CODEN: GWXXBX
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LA
    German
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L6
    ANSWER 4 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
ΑN
    2000:470722 CAPLUS
DN
    133:72066
TI
    Site specific molecular diagnosis using laser assisted microdissection
    technique
ΑU
    Sato, Nakako; Aoyagi, Yasuyuki; Noguchi, Masayuki
CS
    Grad. Sch. Med., Tsukuba Univ., Japan
    Byori to Rinsho (2000), 18(7), 624-627
so
    CODEN: BYRIEM; ISSN: 0287-3745
PB
    Bunkodo
DT
    Journal; General Review
LA
    Japanese
L6
    ANSWER 5 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
    2000:314432 CAPLUS
AN
DN
    132:330582
TI
    Use of DNA identification techniques for the determination of genetic
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ANSWER 2 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN

L6 -

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material of cocoa in fermented or roasted beans and chocolate
     Petiard, Vincent; Crouzillat, Dominique
IN
     Societe des Produits Nestle S.A., Switz.
PA
SO
     Eur. Pat. Appl., 20 pp.
     CODEN: EPXXDW
DT
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LA
    English
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1.6
     ANSWER 6 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
MΑ
     1909:4262 CAPLUS
DN
    3:4262
OREF 3:811c-e
    General Methods for the Detection of Adulteration in
TI
     Cocoa and Chocolate
ΑIJ
     Bordas; Touplain, F.
CS
     Labs. of the Minister of Finance
SO
     Annales des Falsifications et des Fraudes (1909), 1, 12-29
     CODEN: AFEFA4; ISSN: 0365-2157
DT
     Journal
LA
    Unavailable
L6
     ANSWER 7 OF 8 BIOSIS COPYRIGHT 2004 BIOLOGICAL ABSTRACTS INC. on STN
     2002:326471 BIOSIS
ΑN
DN
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ΤI
    Analysis of vicilin (7S)-class globulin in cocoa cotyledons from various
     genetic origins.
AU
     Amin, I.; Jinap, S. [Reprint author]; Jamilah, B.; Harikrisna, K.; Biehl,
CS
     Faculty of Food Science and Biotechnology, Universiti Putra Malaysia, UPM
     Serdang, 43400, Selangor, Malaysia
     jinap@putra.upm.edu.my
SO
     Journal of the Science of Food and Agriculture, (15 May, 2002) Vol. 82,
     No. 7, pp. 728-732. print.
     CODEN: JSFAAE. ISSN: 0022-5142.
דת
    Article
LA
    English
ED
     Entered STN: 5 Jun 2002
    Last Updated on STN: 5 Jun 2002
L6
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     79167434
DN
    PubMed ID: 436019
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- TI Inhibitory effect of cocoa powder on the growth of a variety of bacteria in different media.
- AU Park C E; Stankiewicz Z K; Rayman M K; Hauschild A H
- SO Canadian journal of microbiology, (1979 Feb) 25 (2) 233-5. Journal code: 0372707. ISSN: 0008-4166.
- CY Canada
- DT Journal; Article; (JOURNAL ARTICLE)
- LA English
- FS Priority Journals
- EM 197907
- ED Entered STN: 19900315

Last Updated on STN: 19900315 Entered Medline: 19790716

#### => d 6-8 kwic

2 ANSWERS ARE AVAILABLE. SPECIFIED ANSWER NUMBER EXCEEDS ANSWER SET SIZE The answer numbers requested are not in the answer set. ENTER ANSWER NUMBER OR RANGE (1):end

#### => d 16 6-8 kwic

- L6 ANSWER 6 OF 8 CAPLUS COPYRIGHT 2004 ACS on STN
- TI General Methods for the Detection of Adulteration in Cocoa and Chocolate
- L6 ANSWER 7 OF 8 BIOSIS COPYRIGHT 2004 BIOLOGICAL ABSTRACTS INC. on STN AB. . . precursors of cocoa-specific aroma and are formed through proteolysis during fermentation. High-resolution electrophoresis of native proteins isolated from ripe, unfermented cocoa cotyledons harvested from different cultivars was used to determine genetic differences of the genotypes. Flavour differences have been reported to exist after standard fermentation in cocoa beans harvested from various. . .
- L6 ANSWER 8 OF 8 MEDLINE on STN
- AB The inhibitory effect of cocoa powder on 102 organisms belonging to 13 genera was determined. All organisms tested were inhibited by 5% cocoa. Shigella, Staphylococcus, Micrococcus, and Bacillus were the most sensitive. The degree of. . .

09/849,139

# Freeform Search

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# **Search History**

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DB=USPT,EPAB,JPAB,DWPI; PLUR=YES; OP=ADJ			
<u>L13</u>	L12 and (chitinase or mitochondr\$3 or choroplas\$3)	0	<u>L13</u>
<u>L12</u>	L11 and (PCR or RFLP)	20	<u>L12</u>
<u>L11</u>	(detect\$3 or determin\$3) same (gene\$3 or DNA or RNA or nucleic acid or oligonucleotide) same (cocoa or chocolate)	85	<u>L11</u>
<u>L10</u>	(detect\$3 or determin\$3) near5 (gene\$3 or DNA or ENA or nucleic acid or oligonucleotide\$1) near5 (cocao or chocolate)	0	<u>L10</u>
<u>L9</u>	gene\$3 near5 (cocoa or chocolate) near5 (detect3 or determin\$3)	2	<u>L9</u>
<u>L8</u>	L6 AND HYBRIDIZ\$5	0	<u>L8</u>
<u>L7</u>	L6 and PCR	1	<u>L7</u>
<u>L6</u>	L5 and cocoa	4	<u>L6</u>
<u>L5</u>	petiard.in.	36	<u>L5</u>
<u>L4</u>	L3 and PCR	1	<u>L4</u>
<u>L3</u>	L2 and cocoa	2	<u>L3</u>
<u>L2</u>	Crouzillat.in.	4	<u>L2</u>
<u>L1</u>	Petiard.pn.	0	<u>L1</u>

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# Search Results - Record(s) 1 through 8 of 8 returned.

- 1. <u>6696485</u>. 10 Oct 02; 24 Feb 04. Procyanidin and cyclo-oxygenase modulator compositions. Romanczyk, Jr.; Leo J., et al. 514/457; 549/406. A61K031/353 C07D311/62.
- 2. <u>6670390</u>. 21 Nov 00; 30 Dec 03. Cocoa extract compounds and methods for making and using the same. Romanczyk, Jr.; Leo J., et al. 514/456; 426/631 549/400. A61K031/353 C07D311/74.
- 3. <u>6638971</u>. 05 Feb 01; 28 Oct 03. Cocoa extract compounds and methods for making and using the same. Romanczyk, Jr.; Leo J., et al. 514/456; 426/631 549/399. A61K031/353 C07D311/74.
- 4. <u>6423743</u>. 21 Nov 00; 23 Jul 02. Cocoa extract compounds and methods for making and using the same. Romancyzk, Jr.; Leo J.. 514/456; 426/631 549/399. A61K031/353 C07D311/74.
- The local polyphenol content in tabletting compositions and capsule filling compositions. Romanczyk, Jr.; Leo J. 514/456; 424/452 pulmur 424/465 426/631 549/399 549/407. A61K009/20 A61K031/353 C07D311/62 C07D311/78.
  - ☐ 6. <u>5919620</u>. 07 Jun 95; 06 Jul 99. Heat shock protein HSP72 of Streptococcus pneumoniae. Brodeur; Bernard R., et al. 435/6; 435/4 435/69.1 435/963 536/23.4 536/23.7. C12Q001/06 C12Q001/68 C12P021/06 G01N033/53.
  - 7. <u>5770433</u>. 21 Jan 93; 23 Jun 98. Recombinant 47 and 31KD cocoa proteins and precursor. Spencer; Margaret Elizabeth, et al. 435/252.33; 426/534 426/656 435/254.21 435/320.1 435/69.1 530/370 536/23.6. C12N005/00 A23J003/14 C07K014/415.
  - 8. <u>5668007</u>. 11 Dec 92; 16 Sep 97. Recombinant 21 kD cocoa protein and precursor. Spencer; Margaret Elizabeth, et al. 435/252.3; 435/252.33 435/254.21 435/320.1 435/325 435/69.1 435/71.1 435/71.2 530/370 530/377 530/379 536/23.1 536/23.6. C07K014/415 C12N015/29 C12N001/21 C12N001/19.

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L9: Entry 4 of 8 File: USPT Jul 23, 2002

DOCUMENT-IDENTIFIER: US 6423743 B1

TITLE: Cocoa extract compounds and methods for making and using the same

#### Drawing Description Text (28):

FIG. 15L shows the growth inhibition of Hela cells when treated with crude polyphenol extracts obtained from <u>fermented</u> cocoa beans and dried cocoa beans (stages throughout <u>fermentation</u> and sun drying; % control vs. concentration, .mu.g/mL; open circle is day zero fraction darkened circle is day 1 fraction, open inverted triangle is day 2 fraction, darkened inverted triangle is day 3 fraction, open square is day 4 fraction and darkened square is day 9 fraction);

# Drawing Description Text (51):

FIG. 30A shows the substrate utilization during fermentation of cocoa beans;

# Drawing Description Text (52):

FIG. 30B shows the metabolite production during fermentation;

## Drawing Description Text (53):

FIG. 30C shows the plate counts during fermentation of cocoa beans;

#### <u>Drawing Description Text (54):</u>

FIG. 30D shows the relative concentrations of each component in  $\underline{\text{fermented}}$  solutions of cocoa beans;

## Detailed Description Text (6):

Additionally, Example 25 lists the heretofore never reported concentrations of the inventive compounds found in Theobrdma and Herrania species and their inter- and intra-species crosses; and Example 25 also describes methods of modulating the amounts of the inventive compounds which may be obtained from cocoa by manipulating cocoa fermentation conditions.

#### Detailed Description Text (8):

The extracts, compounds and combinations of compounds derived therefrom having activity, without wishing to necessarily be bound by any particular theory, have been identified as cocoa polyphenol(s), such as procyanidins. These cocoa procyanidins have significant anti-cancer, anti-tumor or antineoplastic activity; antioxidant activity; inhibit DNA topoisomerase II enzyme and oxidative damage to DNA; possess antimicrobial activity; have the ability to modulate cyclo-oxygenase and/or lipoxygenase, NO or NO-synthase, apoptosis, platelet aggregation and blood or in vivo glucose, and have efficacy as non-steroidal antiinflammatory agents.

#### Detailed Description Text (51):

One significant property of COX-2 expressing cell lines is the enhanced expression of <u>genes</u> which participate in the modulation of apoptosis, i.e., programmed cell death. Several NSAIDs have been implicated in increased cell death and the induction of apoptosis in chicken embryo fibroblasts.

#### <u>Detailed Description Text</u> (70):

The role of NO in the immune system is different from its function in blood vessels. Macrophages contain a form of NOS that is inducible, rather than constitutive, referred to as iNOS. Transcription of the iNOS gene is controlled

both positively and negatively by a number of biological response modifiers called cytokines. The most important inducers are gamma-interferon, tumor necrosis factor, interleukin-1, interleukin-2 and lipopolysaccharide (LPS), which is a component of the cell walls of gram negative bacteria. Stimulated macrophages produce enough NO to inhibit ribonuclease reductase, the enzyme that converts ribonucleotides to the deoxyribonucleotides necessary for DNA synthesis. Inhibition of DNA synthesis may be be an important way in which macrophages and other tissues possessing iNOS can inhibit the growth of rapidly dividing tumor cells or infectious bacteria.

#### Detailed Description Text (107):

Additionally, selective processing coupled with the <u>identification of cocoa</u> genotypes of interest could be used to prepare Standard-of-Identity (SOI) and non-SOI chocolate products as vehicles to deliver the active. compounds to a patient in need of treatment for the disease conditions described above, as well as a means for the delivery of conserved levels of the inventive compounds.

# <u>Detailed Description Text</u> (117): Identification of Genes

#### Detailed Description Text (118):

A further embodiment of the invention comprehends the modulation of <u>genes</u> expressed as a result of intimate cellular contact by the inventive compounds or a combination of compounds. As such, the present invention comprehends methods for the identification of <u>genes</u> induced or repressed by the inventive compounds or a combination of compounds which are associated with several diseases, including but not limited to atherosclerosis, hypertension, cancer, cardiovascular disease, and inflammation. Specifically, <u>genes</u> which are differentially expressed in these disease states, relative to their expression in "normal" nondisease states are identified and described before and after contact by the inventive compounds or a combination of compounds.

# Detailed Description Text (119):

As mentioned in the previous discussion, these diseases and disease states are based in part on free radical interactions with a diversity of biomolecules. A central theme in these diseases is that many of the free radical reactions involve reactive oxygen species, which in turn induce physiological conditions involved in disease progression. For instance, reactive oxygen species have been implicated in the regulation of transcription factors such as nuclear factor (NF)-.kappa.B. The target genes for NF-.kappa.B comprise a list of genes linked to coordinated inflammatory response. These include genes encoding tumor necrosis factor (TNF)-.alpha., interleukin (IL)-I, IL-6, IL-8, inducible NOS, Major Histocompatabilty Complex (MHC) class I antigens, and others. Also, genes that modulate the activity of transcription factors may in turn be induced by oxidative stress. Oxidative stress is the imbalance between radical scavenging and radical generating systems. Several known examples (Winyard and Blake, 1997) of these conditions include gaddl53 (a gene induced by growth arrest and DNA damage), the product of which has been shown to bind NF-IL6 and form a heterodimer that cannot bind to DNA. NF-IL6 upregulates the expression of several genes, including those encoding interleukins 6 6 and 8. Another example of oxidative stress inducible genes are gadd45 which regulates the effects of the transcription factor p53 in growth arrest. p53 codes for the p53 protein which can halt cell division and induce abnormal cells (e.q. cancer) to undergo apoptosis.

# Detailed Description Text (120):

Given the full panoply of unexpected, nonobvious and novel utilities for the inventive compounds or combination of compounds for utility in a diverse array of diseases based in part by free radical mechanisms, the invention further comprehends strategies to determine the temporal effects on <a href="mailto:gene">gene</a> (s) or <a href="mailto:gene">gene</a> product (s) expression by the inventive compounds in animal in vitro and/or in vivo models of specific disease or disease states using <a href="mailto:gene">gene</a> expression assays. These assays

include, but are not limited to Differential Display, sequencing of cDNA libraries, Serial Analysis of <u>Gene</u> Expression (SAGE), expression monitoring by hybridization to to high density oligonucleotide arrays and various reverse transcriptase-polymerization chain reaction (RT-PCR) based protocols or their combinations (Lockhart et al., 1996).

#### Detailed Description Text (121):

The comprehensive physiological effects of the inventive compounds or combination of compounds embodied in the invention, coupled to a genetic evaluation process permits the discovery of genes and gene products, whether known or novel, induced or or repressed. For instance, the invention comprehends the in vitro and in vivo induction and/or repression of cytokines (e.g. IL-1, IL-2, IL-6, IL-8, IL-12, and TNF-.alpha.) in lymphocytes using RT-PCR. Similarly, the invention comprehends the application of Differential Display to ascertain the induction and/or repression of select genes; for the cardiovascular area (e.g. superoxide dismutase, heme oxidase, COX I and 2, and other oxidant defense genes) under stimulated and/or oxidant stimulated conditions (e.g. TNF-.alpha. or H.sub.2 O.sub.2) conditions. For the cancer area, the invention comprehends the application of Differential Display to ascertain the induction and/or repression of genes or gene products such as CuZn-superoxide dismutase, Mn-superoxide dismutase, etc., in control and oxidant stressed cells.

#### Detailed Description Text (214):

Another series of assays were performed on crude polyphenol extracts prepared on a daily basis from a one ton scale traditional 5-day fermentation of Brazilian cocoa beans, followed by a 4-day sun drying stage. The results shown in FIG. 15L showed no obvious effect of these early processing stages, suggesting little change in the composition of the polyphenols. However, it is known (Lehrian and Patterson, 1983) that polyphenol oxidase (PPO) will oxidize polyphenols during the fermentation stage. To determine what effect enzymatically oxidized polyphenols would have on activity, another experiment was performed. Crude PPO was prepared by extracting finely ground, unfermented, freeze dried, defatted Brazilian cocoa beans with acetone at a ratio of 1 gm powder to 10 mL acetone. The slurry was centrifuged at 3,000 rpm for 15 min. This was repeated three times, discarding the supernatant each time with the fourth extraction being poured through a Buchner filtering funnel. The acetone powder was allowed to air dry, followed by assay according to the procedures described by McLord and Kilara, (1983). To a solution of crude polyphenols (100 mg/10 mL Citrate-Phosphate buffer, 0.02M, pH 5.5) 100 mg of acetone powder (4,000 units activity/mg protein) was added and allowed to stir for 30 min. with a stream of air bubbled through the slurry. The sample was centrifuged at 5,000.times.g for 15 min. and the supernatant extracted 3.times. with 20 mL ethyl acetate. The ethyl acetate extracts were combined, taken to dryness by distillation under partial vacuum and 5 mL water added, followed by lyophilization. The material was then assayed against Hela cells and the dose-response compared to crude polyphenol extracts that were not enzymatically treated. The results (FIG. 15M) showed a significant shift in the dose-response curve for the enzymatically oxidized extract, showing that the oxidized products were more inhibitory than their native forms.

### Detailed Description Text (218):

To <u>determine whether cocoa</u> extracts containing procyanidins possessed antioxidant properties, a standard Rancimat method was employed. The procedures described in Examples 1, 2 and 3 were used to prepare cocoa extracts which were manipulated further to produce two fractions from gel permeation chromatography. These two fractions are actually combined fractions A through C, and D and E (See FIG. 1) whose antioxidant properties were compared against the synthetic antioxidants BHA and BHT.

<u>Detailed Description Text</u> (341): Obtaining Desired Procyanidins Via Manipulating <u>Fermentation</u>

#### Detailed Description Text (342):

Microbial strains representative of the succession associated with cocoa <u>fermentation</u> were selected from the M&M/Mars cocoa culture collection. The following following isolates were used: Acetobacter aceti ATCC 15973 Lactobacillus sp. (BH 42) Candida cruzii (BA 15) Saccharomyces cerevisiae (BA 13) Bacillus cereus (BE 35) Bacillus sphaericus (ME 12)

# Detailed Description Text (345):

The bench scale <u>fermentation</u> was performed in duplicate. All treatments were incubated as indicated below: Day 1: 26.degree. C. Day 2: 26.degree. C. to 50.degree. C. Day 3: 50.degree. C. Day 4: 45.degree. C. Day 5: 40.degree. C.

#### Detailed Description Text (346):

The model <u>fermentation</u> was monitored over the duration of the study by plate counts to assess the microbial population and HPLC analysis of the <u>fermentation</u> medium for the production of microbial metabolites. After treatment, the beans were dried under a laminar flow hood to a water activity of 0.64 and were <u>roasted</u> at 66.degree. C. for 15 min. Samples were prepared for procyanidin analysis. Three beans per treatment were ground and defatted with hexane, followed by extraction with an acetone:water:acetic acid (70:29.5:0.5%) solution. The acetone solution extract was filtered into vials and polyphenol levels were quantified by normal phase HPLC as in Example 13, method B. The remaining beans were ground and tasted. The cultural and analytical profiles of the model bench-top <u>fermentation</u> process is shown in FIGS. 30A-C. The procyanidin profiles of cocoa beans subjected to various <u>fermentation</u> treatments is shown in FIG. 30D.

## Detailed Description Text (347):

This Example demonstrates that the invention need not be limited to any particular cocoa genotype; and, that by manipulating <u>fermentation</u>, the levels of procyanidins produced by a particular Theobroma or Herrania species or their inter or intra species specific crosses thereof can be modulated, e.g., enhanced.

#### Detailed Description Text (360):

Using blood glucose levels as an indicator for the signal events which occur in vivo for the regulation of appetite and satiety, a series of simple experiments were conducted using a healthy male adult volunteer age 48 to determine whether cocoa polyphenols would modulate glucose levels. Cocoa polyphenols were partially purified from Brazilian cocoa beans according to the methods described by Clapperton et al. (1992). This material contained no caffeine or theobromine. Fasting blood glucose levels were analyzed on a timed basis after ingestion of 10 fl. oz of Dexicola 75 (caffeine free) Glucose tolerance test beverage (Curtin Matheson 091-421) with and without 75 mg cocoa polyphenols. This level of polyphenols represented 0.1% of the total glucose of the test beverage and reflected the approximate amount that would be present in a standard 100 g chocolate bar. Blood glucose levels were determined by using the Accu-Chek III blood glucose monitoring system (Boehringer Mannheim Corporation). Blood glucose levels were measured before ingestion of test beverage, and after ingestion of the test beverage at the following timed intervals: 15, 30, 45, 60, 75, 90, 120 and 180 minutes. Before the start of each glucose tolerance test, high and low glucose level controls were determined. Each glucose tolerance test was performed in duplicate. A control test solution containing 75 mg cocoa polyphenols dissolved in 10 fl. oz. distilled water (no glucose) was also performed.

#### Detailed Description Text (403):

FIG. 55 indicates that only procyanidin fraction C, at 100 .mu.g/mL, could induce NO production by monocytes/macrophages. Basal NO production by these cells was undetectable and no nitrite could be detected in any of the cocoa procyanidin fractions used at 100 .mu.g/mL. FIG. 56 indicates that procyanidin fractions A and D enhanced LPS-induced NO production by .UPSILON.-interferon primed

monocytes/macrophages. Procyanidin fraction C was marginally effective, since LPS-stimulated monocytes/macrophages cultured in the absence of procyanidin fractions produced only 4 .mu.mole/10.sup.5 cells/48 hours. .UPSILON.-Interferon alone was ineffective in inducing NO.

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#### Detailed Description Paragraph Table (23):

Fermentation Model Water Ethanol/acid infusate Fermentation daily daily transfer to daily transfer bench scale transfer solutions of to <u>fermented</u> model to fresh alcohol and acid pulp <u>fermentation</u> in water corresponding to pasteurized on sterile pulp levels determined each successive coinoculated at each stage of day of with test a model pulp <u>fermentation</u> strains fermentation

Detailed Description Paragraph Table (27):

TABLE 14 Procyanidin Levels in Cocoa Raw Materials .mu.g/g Heptamers and Sample Monomers Dimers Trimers Tetramers Pentamers Hexamers Higher Total Unfermented 13,440 13,440 6,425 6,401 5,292 4,236 3,203 5,913 44,910 Fermented 2,695 1,538 1,362 740 470 301 277 7,383 Roasted 2,656 1,597 921 337 164 ND\* ND 5,675 Choc. Liquor 2,805 1,446 881 442 184 108 ND 5,866 Cocoa Hulls 114 53 14 ND ND ND ND 181 Cocoa Powder 1% Fat 506 287 112 ND ND ND ND 915 Cocoa Powder 11% Fat 1,523 1,224 680 46 ND ND ND 3,473 Red Dutch Cocoa 1,222 483 103 ND ND ND ND 1,808 Powder, pH 7.4, 11% fat Red Dutch Cocoa 168 144 60 ND ND ND ND 372 Powder, pH 8.2, 23% fat ND\* = None detected.

# Other Reference Publication (34):

Porter, L.J., Ma, Z. and Chan, B.G., "Cocoa Procyanidins: Major Flavanoids and Identification of Some Minor Metabolites," Phytochemistry, 30, 1657-1663 (1991).

#### CLAIMS:

- 1. An assay for identifying at least one <u>gene</u> induced or repressed by a procyanidin monomer and/or oligomer comprising a <u>gene</u> expression assay and a procyanidin monomer monomer and/or oligomer obtained from a natural source.
- 9. An assay for identifying at least one <u>gene</u> induced or repressed by a procyanidin monomer and/or oligomer comprising a <u>gene</u> expression assay and a synthetically prepared procyanidin monomer and/or oligomer.
- 14. The assay of claim 1, wherein the induction or repression of the <u>gene</u> is associated with at least one of the following diseases: atherosclerosis, hypertension, cancer, cardiovascular disease, inflammation.
- 15. The assay of claim 9, wherein the induction or repression of the <u>gene</u> is associated with at least one of the following diseases: atherosclerosis, hypertension, cancer, cardiovascular disease, inflammation.
- 16. An assay for identifying at least one <u>gene</u> induced or repressed by a polymeric compound of the formula A.sub.n comprising a <u>gene</u> expression assay and the polymeric compound of the formula A.sub.n wherein A is a monomer of the following formula: ##STR9##

wherein n is an integer from 2-18, such that there is at least one terminal monomeric unit A, and one or a plurality of additional monomeric units R is 3-(.alpha.)-OH, 3-(.beta.)-OH, 3-(.alpha.)-O-sugar, or 3-(.beta.)-O-sugar; bonding between adjacent monomers takes place at positions selected from the group consisting of 4, 6, and 8; a bond for additional monomeric unit in position 4 has alpha or beta stereochemistry; X, Y, and Z are selected from the group consisting of monomeric unit A, hydrogen, and a sugar, with the provisos that as to at least one terminal monomeric unit, bonding of the additional monomeric unit thereto is at position 4 and optionally Y=Z=hydrogen; the sugar is optionally substituted with a phenolic moiety, and

pharmaceutically acceptable salts, derivatives thereof, oxidation products thereof, or combinations thereof.

- 17. The assay of claim 16 wherein said <u>gene</u> expression assay is selected from the group consisting of Differential Display assay, Serial Analysis of <u>Gene</u> Expression assay and expression monitoring by hybridization to high density oligonucelotide arrays.
- 18. The assay of claim 16, wherein the induction or repression of the <u>gene</u> is associated with at least one of the following diseases: atherosclerosis, hypertension, cancer, cardiovascular disease, inflammation.

# (FILE 'HOME' ENTERED AT 11:04:44 ON 30 MAY 2004)

	FILE 'CAPL'	US, BIOSIS, MEDLINE' ENTERED AT 11:05:05 ON 30 MAY 2004
L1	1	S PETIARD.IN.
L2	0	S L1 AND COCOA
L3	0	S CROUZILLAT.IN.
L4	0	S PETIARD.AU.
L5	0	S CROUZILLAT.AU.
L6	8	S GENE###(10A)(COCOA OR CHOCOLATE)(10A)(DETECT### OR DETERMIN##
L7	4	S L6 AND PCR
L8		S L7 AND (CHITINASE OR MITOCHONDR### OR CHOROPLAS###)
L9	13736	S (DETECT### OR DETERMIN###) (10A) (CHITINATSE OR MITOCHONDR### O
L10	1	S L9 AND COCOA
L11	434	S (DETECT### OR DETERMIN### OR DECID###)(10A)COCOA
L12	28	S L11 AND GENE###
L13	5	S L12 AND (PCR OR RFLP)
L14	1	S L13 AND (CHITINASE OR MITOCHONDR### OR CHLOROPLAS### OR SEED
L15	246	S (DETEC### OR DETERMIN### OR DECID###) (10A) COCOA
L16	16	S L15 AND GENE###
L17	12	DUP REM L16 (4 DUPLICATES REMOVED)